

LME / MCO NAME: VAYA HEALTH FOR THE PERIOD ENDING: 6/30/2017 (unaudited-preliminary)
 # of month in the fiscal year (July = 1, August = 2, . . . , June = 12) =====> 12

1. REPORT OF BUDGET VS. ACTUAL

Basis of Accounting: (check one)	Modified Accrual Accrual	X	(1)	(2)	(3)	(4)	(5)	(6)
		PRIOR YEAR		CURRENT YEAR				
			2015-2016		ACTUAL		BALANCE	ANNUALIZED
ITEM		BUDGET	ACTUAL	BUDGET	YR-TO-DATE	(Col. 3-4)		PERCENTAGE **
REVENUE								
Service Fees from LME-Delivered Services		370,416	385,087	359,750	415,443	(55,693)		115.48%
Medicaid Pass Through Funds		60,000	50,344	80,000	42,164	37,836		52.71%
Interest Earned		141,000	229,841	221,000	254,285	(33,285)		115.06%
Rental Income		53,772	53,772	53,772	53,772	-		100.00%
Budgeted Fund Balance * (Detail in Item 4, below)		17,736,308	-	39,381,509	-	39,381,509		0.00%
Other Local		4,670,325	3,734,467	2,364,751	2,171,790	192,961		91.84%
Total Local Funds		23,031,821	4,453,511	42,460,782	2,937,454	39,523,328		6.92%

County Appropriations (by county, includes ABC Funds):															
Alexander County				37,825	37,825	50,000	50,000					-		100.00%	
Allegheny County				115,483	115,483	115,483	115,483					-		100.00%	
Ashe County				189,566	189,566	189,566	189,566					-		100.00%	
Avery County				89,600	89,600	89,600	89,600					-		100.00%	
Buncombe County				600,000	600,000	600,000	600,000					-		100.00%	
Caldwell County				118,538	119,377	119,628	119,638					(10)		100.01%	
Cherokee County				75,000	75,000	75,000	75,000					-		100.00%	
Clay County				15,000	15,000	15,000	15,000					-		100.00%	
Graham County				6,000	6,000	6,000	6,000					-		100.00%	
Haywood County				101,900	116,762	101,900	100,387					1,513		98.52%	
Henderson County				528,612	528,612	528,612	528,612					-		100.00%	
Jackson County				123,081	123,081	123,081	123,081					-		100.00%	
Macon County				106,623	106,623	106,623	106,623					-		100.00%	
Madison County				30,000	30,000	30,000	30,000					-		100.00%	
McDowell County				67,856	67,856	67,856	67,856					-		100.00%	
Mitchell County				18,000	18,000	18,000	18,000					-		100.00%	
Polk County				76,991	78,314	77,991	78,510					(519)		100.67%	
Rutherford County				102,168	102,168	102,168	102,168					-		100.00%	
Swain County				25,000	25,799	32,260	26,025					6,235		80.67%	
Transylvania County				99,261	99,261	99,261	99,261					-		100.00%	
Watauga County				171,195	171,195	171,194	171,194					-		100.00%	
Wilkes County				264,200	266,718	266,810	266,327					483		99.82%	
Yancey County				26,000	26,000	26,000	26,000					-		100.00%	
Total County Funds				2,987,899	3,008,241	3,012,033	3,004,331					7,702		99.74%	

LME Systems Admin. Funds (Cost Model)												-			
DMH/DD/SAS Administrative Funds (% basis)				2,441,587	2,441,587	138,494	138,494					-			
DMH/DD/SAS Services Funding				64,720,453	62,587,966	57,870,471	59,796,463					(1,925,992)		103.33%	
DMA Capitation Funding				304,657,129	307,814,900	317,256,174	320,350,759					(3,094,585)		100.98%	
DMA Risk Reserve Funding				6,217,492	6,258,689	6,474,616	6,467,872					6,744		99.90%	
All Other State/Federal Funds				101,000	80,566	17,000	19,960					(2,960)		117.41%	
Total State and Federal Funds				378,137,661	379,183,708	381,756,755	386,773,548					(5,016,793)		102.66%	

TOTAL REVENUE	404,157,381	386,645,460	427,229,570	392,715,333	34,514,237	91.92%
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EXPENDITURES:						
System Management/Administration/Care Coordination				52,065,620	46,275,865	61,766,160
LME Provided Services				4,042,644	2,850,572	2,859,229
Provider Payments (State Funds)				335,399,045	324,388,145	347,652,505
Provider Payments (Federal Funds)				7,853,268	6,677,173	9,957,540
Provider Payments (County/Local)				3,235,764	3,016,429	3,284,486
All Other				1,561,040	1,296,009	1,709,650
TOTAL EXPENDITURES				404,157,381	384,504,193	427,229,570

Net Income (from Operations and Risk Reserve)		2,141,267		(11,928,904)		
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Beginning Unrestricted/Unassigned Fund Balance		67,730,176		8,031,041		
Balance in Restricted DMA Risk Reserve		24,877,639		31,345,510		
Current Estimated Unrestricted/Unassigned Fund Balance and percent of budgeted expenditures	1.99%	8,031,041	2.80%	11,943,371		

2. CURRENT CASH POSITION

Current Cash in Bank (Including Risk Reserve)	101,630,364					
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3. SERVICE EXCEPTIONS (Provided Based on System Capability)

Services authorized but not billed (IBNR)	14,872,020					
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4. DETAIL ON BUDGETED FUND BALANCE		Budgeted	Utilized to-Date	Year-Remaining Balance	% Utilized
Payments to Providers	FY1516 MOE unspent-alloc to FY1617	170,735	170,735	-	100.00%
Payments to Providers	Single Stream Replacement Funding	20,622,914	16,575,241	4,047,673	80.37%
Payments to DMA	Risk Reserve Match Contribution	2,325,656	2,325,656	-	100.00%
Other Initiatives	C3@356 Urgent Care Funding	2,943,481	2,919,390	24,091	99.18%
Other Initiatives	Western Region Crisis Expansion	350,000	349,871	129	99.96%
Other Initiatives	Northern Region Crisis Expansion	9,500	9,500	-	100.00%
Other Initiatives	Child Facility Based Crisis	0	0	-	-
Other Initiatives	FBC in Caldwell County	100,000	30,276	69,724	30.28%
Other Initiatives	IDD Crisis Service Expansion	960,000	560,065	399,935	58.34%
Other Initiatives	Integrated Collab Care Svc Initiatives	4,808,733	3,018,547	1,790,186	62.77%
Other Initiatives	Admin Office - Relocation Fund	528,618	340,521	188,097	64.42%
Other Initiatives	Technology Enabled Care and Expo	104,460	25,521	78,939	24.43%
Other Initiatives	Youth Villages LifeSet Program	629,027	629,027	-	100.00%
Other Initiatives	Mediation, Transcription & Other Legal	470,000	166,211	303,789	35.36%
Other Initiatives	Equipment & Leasehold Improvements	807,338	456,151	351,187	56.50%
Other Initiatives	TCLI Legal Aid	475,000	412,500	62,500	86.84%
Other Initiatives	Replacement Bridge Funding	192,467	192,467	-	100.00%
Other Initiatives	Data Security Initiatives	322,890	207,017	115,873	64.11%
Other Initiatives	Medicaid Reform Readiness	125,000	79,516	45,484	63.61%
Other Initiatives	Community Engagement/Awareness	674,830	642,516	32,314	95.21%
Other Initiatives	Peer Run Recovery Centers	77,400	50,000	27,400	64.60%
Other Initiatives	MAHEC	358,960	369,525	(10,565)	102.94%
Other Initiatives	Jail Diversion	65,000	65,000	-	100.00%
Other Initiatives	Medication Assisted Tx for Opioid Users	250,000	27,940	222,060	11.18%
Other Initiatives	Project Search	70,000	54,092	15,908	77.27%
Other Initiatives	Substance Use Recovery Housing	200,000	167,467	32,533	83.73%
Other Initiatives	Veteran's Program	225,000	24,983	200,017	11.10%
Other Initiatives	Rebranding	1,389,500	422,115	967,385	30.38%
Other Initiatives	WNC SU Alliance	125,000	111,872	13,128	89.50%
Total Fund Balance Appropriated/Utilized to Date		39,381,509	30,403,724	8,977,786	77.20%

* We certify (a) this report to contain accurate and complete information, (b) explanations are provided for any expenditure item with an annualized expenditure rate greater than 110% and for any revenue item with an annualized receipt rate of less than 90%, and (c) a copy of this report has been provided to each county manager in the catchment area".

LME / MCO Director Date LME/MCO Finance Officer Date 7/31/2017 Area Board Chair Date

Quarterly Fiscal Monitoring Report - Explanation of Revenue and Expenditure Variances

VAYA HEALTH
 For the period ending: **6/30/2017 (unaudited-preliminary)**

ITEM	Explanation
Revenues Less than 90%	
Medicaid Pass Through Funds (52.7%)	Pass Through services not being used the last several months of FY 16-17. Billing is direct between the Division and NCTracks.
Fund Balance Appropriated (0.00%)	Fund Balance Appropriation is budgeted - but no actual will be recorded on the financial statements.
Swain County MOE (80.7%)	Swain County ABC funds are under the estimated Budget amount as of 6/30/2017.

Expenditures Exceeding 110%
 N/A

Other Notes

Please note that any ABC funds collected will be shown in the same line as MOE funds for that county. ABC Funds are recorded on a Cash Basis.

The reason that the Unrestricted/Unassigned Fund Balance at the beginning of FY 15-16 is so much higher than Unrestricted/Unassigned Fund Balance at the beginning of FY 16-17 is due to all the Commitments that the Board of Directors for Vaya Health have approved. These amounts have been removed from the Unrestricted/Unassigned Fund Balance Section and are now being considered Board Committed Fund Balance.

The Net Loss (Current Estimated Unrestricted/Unassigned Fund Balance) through 6/30/2017 is expected due to the use of Fund Balance for Community Reinvestment Initiatives and the large reduction in Single Stream Funding for FY 16-17 that had to be replaced with Fund Balance.